



JSW

PATENT APPLICATION

CERTIFICATE OF MAILING/FACSIMILE TRANSMISSION (37 CFR 1.8)

I hereby certify that this paper (along with any paper referred to as being transmitted therewith) is (XXXX) being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to: Mail Stop Amendment, Commissioner for Patents, P.O. Box. 1450, Alexandria, Virginia 22313-1450 or () being transmitted by facsimile to the U.S. Patent and Trademark Office. (Fax No. 571 273-8300) (_ pages). This facsimile is being sent from (908) 429-3650.

Maria T. Sanchez

(Print Name)

Date: October 3, 2005

Maria T. Sanchez
(Signature)

Docket No. 2004US301

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application

Yu SUI et al

Art Unit: 1756

Serial No. 10/808,884 filed March 25, 2004

Examiner: Nicole M. BARRECA

For: POSITIVE-WORKING PHOTOIMAGEABLE BOTTOM ANTIREFLECTIVE COATING

**INFORMATION DISCLOSURE STATEMENT AFTER THE FIRST OFFICE ACTION
ON THE MERITS, BUT BEFORE A FINAL OFFICE ACTION OR A NOTICE OF
ALLOWANCE (37 CFR 1.97 (c))**

October 3, 2005

Mail Stop Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, Virginia 22313-1450

Serial No.: 10/808,884
Filed: March 25, 2004

Dear Sir:

In accordance with the duty of disclosure provisions of 37 CFR §1.56, there is hereby provided certain information which the Examiner may consider material to the examination of the subject U.S. patent application. It is requested that the Examiner make this information of record if it is deemed material to the examination of the subject application.

1. Enclosures accompanying this Information Disclosure Statement are:

1a. ☒ Form PTO-1449.

US 2004/018451 A1

EP 1 033 624 A1

Notification of the Transmittal of the International Search Report and the Written Opinion of the International Searching Authority, or the Declaration (Form PCT/ISA/220) along with the International Search Report (Form PCT/ISA/210) and the Written Opinion (Form PCT/ISA/237) for PCT/IB2005/000773.

☒ Copies of Information Disclosure Statement citations with the exception that copies of US Patents and US Patent Application Publications are not enclosed as the present application was filed after June 30, 2003 and the Patent Office has waived the requirement to enclose such documents under 37 C.F.R. § 1.98(a)(2)(i) pursuant to the Official Gazette announcement dated 05 August 2003. (If box not checked, see item 8a. below).

1c. ☐ An English language copy of search report(s) from a counterpart foreign application or a PCT International Search Report - attached as annex to PCT/ISA/206 (If box checked, see item 8a. below).

1d. ☐ English language abstract (complete or relevant portion(s)) or English language equivalent attached to each non-English language publication (see item 9c or 9e).

1e. ☐ Explanations of Relevancy of References (ATTACHMENT 1(d), hereto) for providing a concise explanation of each non-English publication.

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2. ☐ This Information Disclosure Statement is filed under 37 CFR §1.97(b) before the latter of three months after the U.S. patent application filing date or the first Office Action on the merits. Accordingly, no fee or §1.97(e) Statement is required.

3. ☒ This Information Disclosure Statement is filed under 37 CFR §1.97(c) after the first Office Action on the merits, but before a Final Office Action or a Notice of Allowance.

3a. ☒ The §1.97(e) Statement in Item 5 below is applicable. Accordingly, no fee is required.

3b. ☐ The \$180.00 fee set forth in 37 CFR §1.17(p) in accordance with 37 CFR §1.97(c) is to be charged to Deposit Account No. 50-3309.

4. ☐ This Information Disclosure Statement is filed under 37 CFR §1.97(d) after the Final Office Action or the Notice of Allowance, but before payment of the Issue Fee.

☐ The §1.97(e) Statement (Item 5 below) is applicable.

A Petition to the Commissioner is hereby made under 37 CFR §1.97(d) to request consideration of this Information Disclosure Statement. The \$_____ fee set forth in 37 CFR §1.17(i) is to be charged to Deposit Account No. 50-3309.

5. ☐ Statement Under §1.97(e) (*applicable if Item 3a or Item 4 is checked*)

5a. ☒ In accordance with 37 CFR §1.97(e)(1), it is stated that each item of information contained in this Information Disclosure Statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement.

5b. ☐ In accordance with 37 CFR §1.97(e)(2), it is stated that no item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application and, to the knowledge of the undersigned after making reasonable inquiry, no item of information contained in this Information Disclosure Statement was known by any individual designated in §1.56(c) more than three months prior to the filing of this Information Disclosure Statement.

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6. ☐ This is a continuation/divisional/continuation-in-part application under 37 CFR §1.53(b). *(Check appropriate Items 6a and/or 6b and/or 6c).*
- 6a. ☐ Copies of the publications listed on Form PTO-1449 from prior Application Serial No., filed on, of which this application claims priority under 35 USC §120 have been omitted pursuant to 37 CFR §1.98(d) except those listed in 6b. below.
- 6b. ☐ Copies of the following publications listed on Form PTO-1449 which were not previously cited in prior Application Serial No. _____, filed on _____, of which this application claims priority under 35 USC §120, are provided herewith:
- 6c. ☐ Copies of the publications listed in Item 1c. above are listed on Form PTO-1449 but are not included as they were .
7. ☐ This is a continuation/divisional application under 37 CFR §1.53(d). *(Check either Item 7a or 7b.)*
- 7a. ☐ The Issue Fee has not been paid.
- 7b. ☐ A Petition to Withdraw from Issue under 37 CFR §1.313(b)(5) has been granted. A continuation application under 37 CFR §1.53(d) after payment of the Issue Fee is proper in accordance with 37 CFR §1.53(d)(1)(ii).
8. ☐ This is a Supplemental Information Disclosure Statement. *(Check either Item 8a or 8b.)*
- 8a. ☐ This Supplemental Information Disclosure Statement under 37 CFR §1.97(f) supplements the Information Disclosure Statement filed on _____.
- 8b. ☐ This Supplemental Information Disclosure Statement is timely filed within one (1) month of the Notice under 37 CFR §1.97(i), mailed _____. (MPEP 609, Form ¶ 6.51, July 1997.)
9. ☐ In accordance with 37 CFR §1.98, a concise explanation of what is presently understood to be the relevance of each non-English language publication is:

(Check appropriate Items 9a, 9b, 9c and/or 9d)

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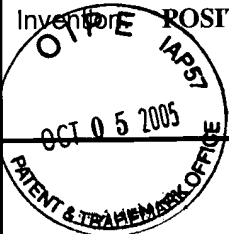

- 9a. ☐ satisfied because all non-English language publications were cited on the enclosed English language copy of the PCT International Search Report or the search report from a counterpart foreign application indicating the degree of relevance found by the foreign office. (See U.S. Patent and Trademark Office's authorization in the Federal Register, Vol. 57, No. 12, January 17, 1992, at page 2031 (Reply to Comment 68)).
- 9b. ☐ set forth in the application.
- 9c. ☐ satisfied because an English language equivalent is attached to each non-English language publication as follows:
- 9d. ☐ enclosed as Attachment 1(d), hereto.
- 9e. ☐ attached in abstracts in the English language as follows:
10. ☒ No admission is made that the information cited in this Statement is, or is considered to be, material to patentability nor a representation that a search has been made (other than search report(s) from a counterpart foreign application or a PCT International Search Report, if submitted herewith). 37 CFR §§1.97(g) and (h).
11. ☒ The Commissioner is authorized to credit any overpayment or charge any additional fee required under 37 CFR §1.17 for this Information Disclosure Statement and/or Petition to Deposit Account No. 50-3309.

Respectfully submitted,



Attorney for Applicant(s)
Sangya Jain
(Reg. No. 38,504)
70 Meister Avenue
Somerville, New Jersey 08876
Telephone: (908) 429-3563
Telefax: (908) 429-3650

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CERTIFICATE OF MAILING BY FIRST CLASS MAIL (37 CFR 1.8)			Docket No. 2004US301
Applicant(s): Yu SUI et al.			
Serial No. 10/808,884	Filing Date March 25, 2004	Examiner Nicole M. BARRECA	Group Art Unit 1756
Inventor: POSITIVE-WORKING PHOTOIMAGEABLE BOTTOM ANTIREFLECTIVE COATING			
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<p>I hereby certify that this <u>Information Disclosure Citation - 1 Page</u> <i>(Identify type of correspondence)</i></p> <p>is being deposited with the United States Postal Service as first class mail in an envelope addressed to: The Commissioner of Patents and Trademarks, Washington, D.C. 20231-0001 on <u>October 3, 2005</u> <i>(Date)</i></p> <p><u>MARIA T. SANCHEZ</u> <i>(Typed or Printed Name of Person Mailing Correspondence)</i></p> <p><u></u> <i>(Signature of Person Mailing Correspondence)</i></p>			
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Applicant(s): Yu SUI et al.

Docket No.

2004US301

Serial No.

10/808,884

Filing Date

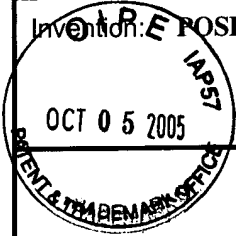
March 25, 2004

Examiner

Nicole M. BARRECA

Group Art Unit

1756

Invention: **POSITIVE-WORKING PHOTOIMAGEABLE BOTTOM ANTIREFLECTIVE COATING**I hereby certify that this EP 1 033 624 A1 - 71 Pages*(Identify type of correspondence)*

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October 3, 2005*(Date)*MARIA T. SANCHEZ*(Typed or Printed Name of Person Mailing Correspondence)**(Signature of Person Mailing Correspondence)***Note: Each paper must have its own certificate of mailing.**

CERTIFICATE OF MAILING BY FIRST CLASS MAIL (37 CFR 1.8)

Applicant(s): Yu SUI et al.

Docket No.

2004US301

Serial No.
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Nicole M. BARRECAGroup Art Unit
1756**POSITIVE-WORKING PHOTOIMAGEABLE BOTTOM ANTIREFLECTIVE COATING**

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(Date)

MARIA T. SANCHEZ

(Typed or Printed Name of Person Mailing Correspondence)



(Signature of Person Mailing Correspondence)

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